

Office of the Auditor General

Appendix to Strategic Plan

MARCH 2007

Our Action Plans

Strategy 1 *Promote greater understanding of the independence, mandate, and role of the Office of the Auditor General (OAG).*

- 1.1 Improve our website
- 1.2 Clarify Auditor General access to information
- 1.3 Develop and execute a communication strategy and plan to promote knowledge of the OAG
- 1.4 Review our administrative relationship with government to promote and protect our independence

Strategy 2 *Engage stakeholders more in the work of the Office to increase their commitment and the impact of our work.*

- 2.1 Develop a process for consulting with external stakeholders
- 2.2 Review audit communication protocols for all phases of the audit engagement

Strategy 3 *Identify audit priorities and undertake audits that support enhanced public sector performance and accountability.*

- 3.1 Enhance our risk-based methodology for selecting audit priorities
- 3.2 Review our processes for gathering and considering stakeholder input in selecting audit priorities
- 3.3 Review opportunities and establish mechanisms to conduct joint audits with other audit entities

Strategy 4 *Conduct office-wide, risk-based planning and scheduling for audits.*

- 4.1 Prepare a longer-term audit schedule
- 4.2 Enhance our methodology for risk-based planning of selected audits
- 4.3 Improve knowledge of business process and establish an office-wide approach for documentation
- 4.4 Develop an inventory of identified small-scale projects and initiatives

Strategy 5 *Deploy professionals who bring the right qualifications, experience and competence necessary to undertake each audit in the most proficient and effective manner.*

- 5.1 Develop a staff deployment model that addresses required skills sets for audits and staff development
- 5.2 Determine our needs for specialist expertise and options for meeting these needs

Strategy 6 *Apply professional audit standards, methodology and practices consistently across the Office to improve our audit processes and achieve audit products of the highest quality.*

- 6.1 Standardize our audit methodology
- 6.2 Enhance the QA process
- 6.3 Establish an effective knowledge transfer process
- 6.4 Enhance project management processes

Strategy 7 *Communicate our audit results clearly and directly to increase the understanding and impact of our work.*

- 7.1 Re-assess report frequency and timing
- 7.2 Re-assess our report styles and language
- 7.3 Improve report clearing protocols
- 7.4 Review OAG report release process

Strategy 8 *Take measures to improve the extent to which our recommendations are implemented.*

- 8.1 Review and improve processes for follow up on audit recommendations
- 8.2 Improve the processes for developing and reporting audit recommendations
- 8.3 Engage PAC and executive government to focus on implementation of recommendations

Strategy 9 *Develop and support staff in achieving professional excellence and their full career potential.*

- 9.1 Improve feedback and coaching
- 9.2 Review the performance management process
- 9.3 Develop a comprehensive professional development plan
- 9.4 Identify professional and career development opportunities

Strategy 10 *Employ state-of-the-art professional tools and technology to improve our productivity.*

- 10.1 Optimize the use of current technology and resources
- 10.2 Enhance our audit suite
- 10.3 Develop a comprehensive IT plan

Strategy 11 *Promote an open, fair, and respectful workplace that fosters a highly productive, accountable and motivated staff.*

- 11.1 Use our employee satisfaction survey to enhance our HR practices
- 11.2 Review our HR and office practices
- 11.3 Review the recruitment process at all levels
- 11.4 Identify office space needs